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A Simple Proof for a Particular Case of Szabados's Conjecture

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Let \mathcal{A} be an alphabet with at least two elements. The elements of $\mathcal{A}^{\mathbb{Z}^2}$, called **configurations**, have the form $\eta = (\eta_g)_{g \in \mathbb{Z}^2}$, where $\eta_g \in \mathcal{A}$ for all $g \in \mathbb{Z}^2$. For each $u \in \mathbb{Z}^2$, the **shift application** $T^{\boldsymbol{u}} : \mathcal{A}^{\mathbb{Z}^2} \longrightarrow \mathcal{A}^{\mathbb{Z}^2}$ is defined by $(T^{\boldsymbol{u}}\eta)_g = \eta_{g-\boldsymbol{u}}$ for all $g \in \mathbb{Z}^2$ and all $\eta \in \mathcal{A}^{\mathbb{Z}^2}$. A configuration $\eta \in \mathcal{A}^{\mathbb{Z}^2}$ is said to be **periodic** if there exists a non-zero vector $\boldsymbol{h} \in \mathbb{Z}^2$, called **period** of η , such that $T^{\boldsymbol{h}}\eta = \eta$. If η has two periods linearly independents over \mathbb{R}^2 , we say that η is **fully periodic**. From now on, we will assume that \mathcal{A} is a finite alphabet.

Let $Orb(\eta) = \{T^{\boldsymbol{u}}\eta : \boldsymbol{u} \in \mathbb{Z}^2\}$ denotes the \mathbb{Z}^2 -orbit of $\eta \in \mathcal{A}^{\mathbb{Z}^2}$. If A is endowed with the discrete topology, then $\mathcal{A}^{\mathbb{Z}^2}$ equipped with the product topology is a metrizable compact space. In particular, for all $\eta \in \mathcal{A}^{\mathbb{Z}^2}$, the set $Orb(\eta)$, where the bar denotes the closure, is a compact subshift, i.e., a closed subset invariant by the \mathbb{Z}^2 -action $T^{\boldsymbol{u}}, \boldsymbol{u} \in \mathbb{Z}^2$. We say that a configuration $\eta \in \mathcal{A}^{\mathbb{Z}^2}$ has low pattern complexity if $|\{(T^{\boldsymbol{u}}\eta)|_{\mathcal{S}} : \boldsymbol{u} \in \mathbb{Z}^2\}| \leq |\mathcal{S}|$ holds for some non-empty, finite set $\mathcal{S} \subset \mathbb{Z}^2$, where $\cdot|_{\mathcal{S}}$ means the restriction to the set \mathcal{S} . If in addition \mathcal{S} is convex, i.e., a subset of \mathbb{Z}^2 whose convex hull in \mathbb{R}^2 , denoted by $Conv(\mathcal{S})$, is closed and $\mathcal{S} = Conv(\mathcal{S}) \cap \mathbb{Z}^2$, we say that η has low convex pattern complexity.

Employing results from algebraic geometry, Jarkko Kari and Michal Szabados [1, 2] proved the following periodic decomposition theorem:

Theorem 1.1 (Kari and Szabados [1]). Let $\eta \in \mathcal{A}^{\mathbb{Z}^2}$, with $\mathcal{A} \subset \mathbb{Z}$, be a low pattern complexity configuration. Then there exist periodic configurations $\eta_1, \ldots, \eta_m \in \mathbb{Z}^{\mathbb{Z}^2}$ such that $\eta = \eta_1 + \cdots + \eta_m$.

Let $\ell \subset \mathbb{R}^2$ be a line through the origin. Given t > 0, the *t*-neighbourhood of ℓ is defined as $\ell^t = \{ \boldsymbol{g} \in \mathbb{Z}^2 : \text{Dist}(\boldsymbol{g}, \ell) \leq t \}$, where Dist denotes the Euclidean distance between a point and a set. Following Boyle and Lind [3], we say that ℓ is an **expansive** line on $Orb(\eta)$ if there exists t > 0 such that

$$\forall \ x,y \in \overline{Orb\left(\eta\right)}, \quad x|\ell^t = y|\ell^t \implies x = y.$$

Otherwise, ℓ is called a **nonexpansive** line on $Orb(\eta)$. A particular case of Boyle-Lind Theorem [3, Theorem 3.7] implies that there exists at least one nonexpansive line on $Orb(\eta)$ if the subshift $Orb(\eta)$ is infinite. We remark that nonexpansiveness is the heart of recent advances related to Nivat's conjecture.

If $\eta = \eta_1 + \cdots + \eta_m$ is a minimal periodic decomposition, where by minimal we mean a periodic decomposition with the smallest possible number of periodic configurations, it is easy to see that every nonexpansive line on $Orb(\eta)$ contains a period for some η_i , with $1 \le i \le m$. In his Ph.D. thesis [4], Michal Szabados conjectured that the converse also holds:

Conjecture 1.1 (Szabados). Let $\eta \in \mathcal{A}^{\mathbb{Z}^2}$, with $\mathcal{A} \subset \mathbb{Z}$, be a not fully periodic configuration and suppose $\eta = \eta_1 + \cdots + \eta_m$ is a minimal periodic decomposition. If $\ell \subset \mathbb{R}^2$ is a line through the origin and there exists $1 \leq i \leq m$ such that ℓ contains a period for η_i , then ℓ is a nonexpansive line on $\overline{Orb}(\eta)$.

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2

Szabados's conjecture is a very recent open problem in symbolic dynamics. In [5], the author solves Szabados's conjecture for low convex pattern configurations. Further partial results related to Szabados's conjecture are given in [6].

In this work, we present a simple proof for Szabados's conjecture in the case that $\eta = \eta_1 + \eta_2 + \eta_3$ is a minimal periodic decomposition. The main idea for the proof is the following: let p be a prime large enough so that $\mathcal{A} \subset \{0, 1, 2, \dots, p-1\}$ and consider the periodic decomposition $\bar{\eta} = \bar{\eta}_1 + \bar{\eta}_2 + \bar{\eta}_3$, where the bar denotes the congruence modulo p. Since each configuration $\bar{\eta}_i$ is defined on a finite alphabet, it is easy to see that a line through the origin containing a period for $\bar{\eta}_i$ is nonexpansive. By the pigeonhole principle, we may extend this nonexpansiveness from $\bar{\eta}_i$ to $\bar{\eta}$. The result follows from the fact that $\bar{\eta}$ and η are essentially the same configurations.

Referências

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